

Notice of meeting of

Audit & Governance Committee

To:	Councillors B Watson (Chair), Brooks (Vice-Chair), Bowgett, Jamieson-Ball, Scott, Kirk and R Watson
Date:	Monday, 29 June 2009
Time:	5.30 pm
Venue:	The Guildhall, York

AGENDA

Note:

As agreed at previous meetings, the Chief Internal Auditor and District Auditor (Audit Commission) will be present in the meeting room from 5:00 pm to provide a private briefing for Members, if required.

1. Declarations of Interest

At this point Members are asked to declare any personal or prejudicial interests they may have in the business on this agenda.

2. Minutes (Pages 3 - 10)

To approve and sign the minutes of the meeting of the Audit & Governance Committee held on 31 March 2009.

3. Public Participation

At this point in the meeting members of the public who have registered their wish to speak regarding an item on the agenda or an issue within the remit of the Audit and Governance Committee can do so. Anyone who wishes to register or requires further information is requested to contact the Democracy Officer on the contact details listed at the foot of this agenda. The deadline for registering is **Friday, 26 June 2009 at 5:00 pm.**

4. Audit and Governance Committee Forward Plan 2009 (Pages 11 - 16)

This report presents the future plan of items expected to be presented to the Committee during 2009/10, together with proposals to increase the number of meetings following a Members' self-assessment session against CIPFA best practice guidance for Audit Committees.

5. Review of the Effectiveness of the System of Internal Audit 2008/09 (Pages 17 - 32)

This report advise Members of the process and the outcomes of the 2008/09 review of the effectiveness of the Council's system of Internal Audit, as part of the review of the overall system of internal control required for the 2008/09 Annual Governance Statement (AGS).

6. Annual Report of the Chief Internal Auditor (Pages 33 - 48)

This report summarises the outcome of audit and fraud work undertaken in 2008/09 and provides an opinion on the overall adequacy and effectiveness of the Council's internal control arrangements.

7. Risk Monitor Quarter One 2009/10 and Risk Management Outturn Report 2008/09 (Pages 49 - 84)

This report provides Members with the quarter one risk monitor for 2009/10 and informs them of the progress made during 2008/09 in embedding corporate risk management across the organisation.

8. 2008/09 Annual Governance Statement (Pages 85 - 98)

This report invites Members to consider and approve the draft 2008/09 Annual Governance Statement (AGS), which is to be published as part of the Statement of Accounts for 2008/09.

9. Statement of Accounts 2008/09 (Pages 99 - 108)

This report asks Members to examine and approve the Council's pre-audit Statement of Accounts for the 2008/09 financial year.

Note:

The above report was published with this agenda on Wednesday, 24 June. Annex B to the report will be made available on the Council's website on Friday 26 June and can be accessed via the link below. Printed copies of the report and annexes have been circulated separately to Members:

http://www.york.gov.uk/council/council_budget/accounts/soa

10. Draft Revised Financial Regulations (Pages 109 - 160)

This report invites Members to comment on the content and format of the draft revised Financial Regulations, and to recommend them to the Executive for approval.

11. Audit Commission Data Quality Report 2008 (Pages 161 - 188)

This report presents the Audit Commission's 2008 Data Quality audit report and seeks approval for a revised data quality action plan to address the Audit Commission's findings.

12. Audit Commission 2008/09 Revised Plan and 2009/10 Plans (Pages 189 - 200)

This report presents the Supplementary Opinion Audit Plan 2008/09, the Annual Audit Plan 2009/10, the Annual Inspection Plan 2009/10 and associated fee structures, as received from the Audit Commission.

13. Summary of Audit Commission National Reports (Pages 201 - 204)

This report gives a brief overview of national reports produced by the Audit Commission during 2009 to date. Members are asked to note the report and comment on any areas for further consideration by the Committee or by Officers.

14. Annual Internal Audit and Fraud Plan for 2009/10 (Pages 205 - 224)

This report seeks Members' approval for the planned programme of internal audit and fraud work to be undertaken in 2009/10.

15. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972

Democracy Officer:

Name: Fiona Young

Contact details:

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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.